ES PATENT AND TRADEMARK OFFICE

In re Application of:

Hafner et al.

Application No:

MAR 0 5 2002

Filed:

September 18, 2001

For:

Fabrication of Nanotube Microscopy

Tips

Examiner: To Be Assigned

Art Unit: 1746

Attorney Document No.: HUV-050.01

(19787-5001)

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as I Class Mail, postage prepaid, in an envelope addressed to Commissioner for Patents, Washington, D.C. 20231 or February 25, 2002.

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97 (b)(3)

Commissioner for Patents Washington, DC 20231

Sir:

THE TOTAL OF THE PARTY OF THE P In compliance with the requirements of 37 C.F.R. 1.56 and 1.97(b)(3), submitted herewith on Form PTO-1449 is a list of publications known to Applicants and their Attorney/Agent. A copy of each document is being submitted herewith. Applicants respectfully request that the Examiner consider the listed documents and indicate they were considered by making appropriate notations on the attached Form 1449.

This submission does not represent that a search has been made or that no better art exists. Nor does it constitute an admission that the cited documents are material or constitute "prior art." If the Examiner applies the listed documents as prior art against any claim in the application and Applicants determine that the cited documents do not constitute "prior art" under United States law, Applicants reserve the right to present to the Office the relevant facts and law

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regarding the appropriate status of such documents. Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the referenced documents be applied against the claims of the present application.

Under 37 C.F.R. § 1.97 (b)(3), this Information Disclosure Statement is being filed before the mailing date of the first Office Action on the merits; therefore, no fee is believed to be due in connection with this submission. However, the Commissioner is authorized to charge any deficiencies or credit any overpayment to/from our **Deposit Order Account**, **No. 06-1448**.

Should there be any questions after reviewing this paper, the Examiner is invited to contact the undersigned at (617) 832-1000.

Date: Feb 25 2002

Patent Group Foley, Hoag & Eliot LLP One Post Office Square Boston, MA 02109-2170 Respectfully Submitted,

Theresa Kavanaugh, Ph. D Reg. No. P- 50,356

Agent for Applicants